

EXHIBIT CC

IN THE UNITED STATES DISTRICT COURT
FOR THE NORTHERN DISTRICT OF TEXAS
DALLAS DIVISION

CHARLENE CARTER)
) CIVIL ACTION NO.
VS.) 3:17-CV-02278-X
)
SOUTHWEST AIRLINES CO., AND)
TRANSPORT WORKERS UNION OF)
AMERICA, LOCAL 556)

CONFIDENTIAL
VIDEOTAPED DEPOSITION OF
DENISE GUTIERREZ
NOVEMBER 6, 2020

ANSWERS AND DEPOSITION OF DENISE

GUTIERREZ, produced as a witness at the instance of the Plaintiff, taken in the above-styled and -numbered cause on NOVEMBER 6, 2020, at 9:00 a.m., before CHARIS M. HENDRICK, a Certified Shorthand Reporter in and for the State of Texas, witness located in Dallas, Texas, County of Ellis, pursuant to the Federal Rules of Civil Procedure, the current emergency order regarding the COVID-19 State of Disaster, and the provisions stated on the record or attached hereto.

1 A P P E A R A N C E S

2 FOR THE PLAINTIFF:

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10 FOR THE DEFENDANT, SOUTHWEST AIRLINES CO.:

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17 FOR THE DEFENDANT, TRANSPORT WORKERS UNION OF
18 AMERICA, LOCAL 556:

19 MR. ADAM GREENFIELD
20 LAW OFFICES OF CLOUTMAN & GREENFIELD, PLLC
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22 Dallas, Texas 75226
23 (214) 939-9223
24 agreenfield@candglegal.com

25 ALSO PRESENT: MR. MACK SPURLOCK -
VIDEOGRAPHER

MS. CHARLENE CARTER
MS. LAUREN ARMSTRONG

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INDEX

Appearances2

DENISE GUTIERREZ

 Examination by Mr. Gilliam.....6

 Examination by Mr. Correll.....63

Signature and Changes.....65

Reporter's Certificate.....67

EXHIBITS

Exhibit 1 -14
Southwest Airlines Policies, Document 11

Exhibit 3 -36
Email to Suzanne Stephensen from Audrey Stone,
Document 1

Exhibit 4 -31
Email to Suzanne Stephensen from Dave Kissman,
Document 2

Exhibit 5 -43
Email to Denise Gutierrez from Ed Schneider,
Document 5

Exhibit 6 -53
Email to Maureen Emlet from Ed Schneider,
Document 9

Exhibit 7 -54
Email to Maureen Emlet from Ed Schneider,
Document 6

Exhibit 14 -51
Email to Audrey Stone from Denise Gutierrez,
Document 20

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2
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4
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8
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EXHIBITS

Exhibit 23 -50
Email to Denise Gutierrez from Audrey Stone,
Document 16
Exhibit 24 -60
Email to Jamie Dotson from Sonya Lacore,
Document 28

1 PROCEEDINGS

2 THE VIDEOGRAPHER: We are now on
3 record. Today's date is November 6th, 2020. The
4 time is 9:00 a.m. Central. Will the court reporter
5 please swear in the witness?

6 THE REPORTER: This is the videotaped
7 deposition of Denise Gutierrez, and it is being
8 conducted remotely in accordance with the current
9 emergency order regarding the COVID-19 State of
10 Disaster. The witness is located in Dallas, Texas.

11 My name is Charis Hendrick, Court
12 Reporter, CSR No. 3469. I am administering the
13 oath and reporting the deposition remotely by
14 stenographic means from my home in Ellis County,
15 Texas.

16 Would counsel please state their
17 appearances and locations for the record? And the
18 city is fine.

19 MR. GILLIAM: Matthew B. Gilliam for
20 plaintiff Charlene Carter in Springfield, Virginia.

21 MR. CORRELL: Michael Correll for
22 defendant Southwest Airlines in Dallas, Texas.

23 MR. GREENFIELD: Adam Greenfield for
24 defendant TWU Local 556 in Dallas, Texas.

25 *-*-*

1 DENISE GUTIERREZ,

2 having been first duly sworn, testified as follows:

3 EXAMINATION

4 BY MR. GILLIAM:

5 Q. Good morning, Ms. Gutierrez.

6 A. Good morning.

7 Q. My name is Matt Gilliam, and I represent
8 plaintiff Charlene Carter in the case of Carter v.
9 TWU Local 556 and Southwest Airlines Company. And
10 I am here today to ask you some questions about the
11 case. If at any point, you need a break, just let
12 me know.

13 And do you understand why you are here
14 today?

15 A. I do.

16 Q. Okay. And you understand you are here
17 under a Subpoena?

18 A. I do.

19 Q. Okay. And you received the Subpoena?

20 A. I did.

21 Q. Okay. And you understand that Mr. Correll
22 accepted service of the Subpoena on your behalf?

23 A. I do.

24 Q. Okay. And you had the chance to read the
25 Subpoena?

1 Q. Okay. How long have you worked at
2 JPMorgan Chase?

3 A. Since December 2nd of 2019.

4 Q. Okay. And when did you leave Southwest
5 Airlines Company?

6 A. November the -- that -- that previous
7 Friday.

8 Q. Okay. The Friday prior to December 2nd,
9 2019?

10 A. That's -- that's right.

11 Q. Okay. And what position did you hold with
12 Southwest when you left Southwest Airlines Company
13 in 2019?

14 A. I was an employee relations investigator,
15 senior investigator.

16 Q. Okay. And how long were you an employee
17 relations investigator?

18 A. For nine and a half years.

19 Q. Okay. All right. And prior to working as
20 an employee relations senior investigator, what
21 position did you hold with Southwest?

22 A. The only position I had with Southwest
23 Airlines.

24 Q. Okay. Have you ever worked anywhere as a
25 flight attendant?

1 MR. CORRELL: Objection. Asked and
2 answered. And, Ms. Gutierrez, when I make
3 objections today, unless it's a privilege issue
4 related to your prior employment and I instruct you
5 not to answer, you can proceed to answer after I
6 lodge my objection.

7 A. I -- I can't recall.

8 Q. (By Mr. Gilliam) Okay. And did you have
9 any other job responsibilities apart from
10 investigating claims related to the harassment
11 policy?

12 A. Yes, I did training, as well, related to
13 the harassment policy.

14 Q. Okay. What were some of your other job
15 responsibilities as an investigator?

16 A. That was really it. That --

17 Q. Okay.

18 A. -- was it.

19 Q. And would you train flight attendants as
20 to the harassment policy?

21 A. No.

22 Q. Okay. Who -- who was involved in your
23 training under the harassment policy?

24 A. I trained the leaders.

25 Q. Okay. And when you say the leaders, who

1 do you mean?

2 A. The managers at Southwest Airlines.

3 Q. Okay. Base managers?

4 A. Yes. Sometimes.

5 Q. Okay. Well, I guess, when you say
6 managers -- so you don't mean only base managers?

7 A. That's correct.

8 Q. Okay. Which other managers would you --
9 would you train besides base managers?

10 A. Anyone who would be considered a manage --
11 in management --

12 Q. Okay.

13 A. -- with Southwest Airlines.

14 Q. And as part of your training, what -- what
15 would you -- what would you teach them?

16 A. We would teach them about the policy
17 relating to harassment, sexual harassment,
18 discrimination and retaliation.

19 Q. Okay. All right. And when you say
20 discrimination, what -- what types of
21 discrimination would you train them in?

22 A. Related to the protected categories that
23 are listed within the policy.

24 Q. Okay. And what sort of, I guess,
25 retaliation concerns would you instruct them about?

1 A. What's listed in the policy.

2 Q. Okay. Let's see. If I could direct you
3 to Document 11. And I will direct to you a page
4 number after that.

5 A. Okay. Give me just one moment. I --

6 Q. Sure. Let me -- let me know when you are
7 ready.

8 A. Okay. I have Document 11 open.

9 Q. Okay. If you could look at, I think, the
10 fourth and fifth page at the bottom. It should say
11 App 12 and App 13.

12 A. Hang on one second.

13 Q. Sure.

14 A. Okay.

15 Q. And let's see. Did you -- did you review
16 App 12 and App 13? And just once you have had a
17 chance to -- to look at it, let me know.

18 A. I will let you know.

19 Q. Okay.

20 A. Okay. I have reviewed it.

21 Q. Okay. Do you recognize this?

22 A. I do.

23 Q. And what is it?

24 A. It is the policy -- Southwest Airlines
25 policy regarding harassment, sexual harassment,

1 discrimination and retaliation --

2 Q. Okay.

3 A. -- from 24 -- from 2014.

4 Q. Okay. Do you know if this is the policy
5 that would have been in effect in 2017?

6 A. I can't recall.

7 Q. Okay. And let's see. If I could direct
8 your attention to, I guess, where that policy
9 begins right after -- gives the date where it's
10 revised and the second sentence. And it says,
11 harassment or discrimination based on race, color,
12 religion, age, sex, sexual orientation, gender
13 identity, pregnancy, et cetera.

14 Are all of those the protected
15 categories that are listed in that sentence?

16 A. Yes.

17 Q. Okay. And you mentioned that you would
18 train managers about retaliation in the policy.
19 Which -- where in the policy -- which -- which
20 retaliation, I guess, addressed in the policy would
21 you train management in?

22 A. In the sentence that starts with,
23 retaliation typically involves actions --

24 Q. Okay.

25 A. -- against any -- against an individual

1 engaging in protected activity.

2 Q. Okay. Okay. All right. And if I could
3 direct you to the second page; that's App 8. If
4 you could review that quickly.

5 A. Oh, second page -- I am sorry. Yes. I
6 will go back up. Give me just one moment.

7 Q. Sure.

8 A. Okay.

9 Q. And do you recognize what that is?

10 A. Give me just a moment.

11 Q. Sure.

12 A. It does not look familiar to me.

13 Q. Okay. All right. Now, in carrying out
14 your job responsibilities, did you work with other
15 departments?

16 A. Yes.

17 Q. Okay. Which other departments did you
18 work with?

19 A. Work with -- we worked with security. We
20 worked with inflight. We worked with ground ops,
21 the HR business partner community. These are some
22 of the departments that we would work with in doing
23 investigations.

24 Q. Okay. Did you work with labor relations
25 in conducting investigations?

1 A. Yes. Correct. The ACT team. Correct.

2 Q. Okay. And did the ACT team ever contact
3 employee relations about accommodation issues?

4 A. What do you mean by issues?

5 Q. Well, so if an employee had a religious
6 accommodation request, would the ACT team ever
7 contact employee relations for -- for their
8 feedback?

9 A. I can't recall.

10 Q. Okay. Do you know, as -- as part of your
11 position in employee relations, would you have
12 responded to any religious accommodation requests?

13 A. Only to refer them to the ACT team.

14 Q. Okay. So you wouldn't do any analysis on
15 your own of a religious accommodation request?

16 A. I can't recall.

17 Q. Okay. And you don't know if that would
18 have been part of your responsibilities?

19 A. No, it wouldn't. I don't -- I don't
20 believe it would have.

21 Q. Okay. All right. And did you ever handle
22 any complaints of religious discrimination?

23 A. I can't recall.

24 Q. Okay. That -- I guess, a religious
25 discrimination complaint would fall under the

1 purview of the employee relations department,
2 correct?

3 A. Yes.

4 Q. Okay. But you don't know if you
5 personally ever handled any religious
6 discrimination complaints?

7 A. I can't recall.

8 Q. Okay. Do you know if employee relations
9 received any religious discrimination complaints at
10 all in, say, the last five years you were working
11 at Southwest?

12 A. I can't recall.

13 Q. Okay. All right. All right. Now,
14 switching gears. When did you first hear that a
15 flight attendant had reported Charlene Carter for
16 her Facebook posts and messages?

17 A. I can't recall.

18 Q. Okay. Let's see. If you could look at --
19 refer you to Document 2.

20 A. Okay. Give me just a moment as it loads.

21 Q. Sure.

22 A. Sorry. It's taking its time.

23 Q. Sure. No problem. And then once you do
24 get it up, I will send you to a particular page
25 number.

1 I, DENISE GUTIERREZ, have read the foregoing
2 deposition and hereby affix my signature that same
3 is true and correct, except as noted above.
4

5 _____
6 DENISE GUTIERREZ

7 THE STATE OF _____
8 COUNTY OF _____

9 Before me, _____, on this day
10 personally appeared DENISE GUTIERREZ, known to me
11 (or proved to me under oath or through
12 _____) to be the person whose name is
13 subscribed to the foregoing instrument and
14 acknowledged to me that they executed the same for
15 the purposes and consideration therein expressed.

16
17 Given under my hand and seal of office this _____
18 day of _____, 2020.

19
20 _____
21 NOTARY PUBLIC IN AND FOR THE
22 STATE OF _____
23

24 MY COMMISSION EXPIRES: _____
25

1 REPORTER'S CERTIFICATION
2 IN THE UNITED STATES DISTRICT COURT
3 FOR THE NORTHERN DISTRICT OF TEXAS
4 DALLAS DIVISION
5 CHARLENE CARTER)
6)
7) CIVIL ACTION NO.
8 VS.) 3:17-CV-02278-X
9)
10 SOUTHWEST AIRLINES CO., AND)
11 TRANSPORT WORKERS UNION OF)
12 AMERICA, LOCAL 556)

13 -----
14 CONFIDENTIAL
15 DEPOSITION OF DENISE GUTIERREZ
16 NOVEMBER 6, 2020
17 (REPORTED REMOTELY)
18 -----

19 I, CHARIS M. HENDRICK, Certified Shorthand
20 Reporter in and for the State of Texas, do hereby
21 certify to the following:

22 That the witness, DENISE GUTIERREZ, was by
23 me duly sworn and that the transcript of the oral
24 deposition is a true record of the testimony given
25 by the witness.

I further certify that pursuant to Federal
Rules of Civil Procedure, Rule 30(e)(1)(A) and (B)
as well as Rule 30(e)(2), that review of the
transcript and signature of the deponent:

__xx__ was requested by the deponent and/or a
party before completion of the deposition.

1 _____ was not requested by the deponent and/or
2 a party before the completion of the deposition.

3 I further certify that I am neither
4 attorney nor counsel for, nor related to or
5 employed by any of the parties to the action in
6 which this deposition is taken and further that I
7 am not a relative or employee of any attorney of
8 record in this cause, nor am I financially or
9 otherwise interested in the outcome of the action.

10 The amount of time used by each party at
11 the deposition is as follows:

12 Mr. Gilliam - 2:42 hours/minutes

13 Mr. Correll - 2 minutes

14
15 Subscribed and sworn to on this 12th day
16 of November, 2020.

17

18

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25

Charis M. Hendrick

CHARIS M. HENDRICK, CSR # 360
Certification Expires: 10-31-21
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